



ANTI-SLAVERY & TRAFFICKING POLICY

February 2024

Anti-Slavery & Trafficking Policy

1. **POLICY**

- 1.1 Modern slavery is a crime and a violation of fundamental human rights. It takes various forms, such as slavery, servitude, forced and compulsory labour and human trafficking, all of which have in common the deprivation of a person's liberty by another in order to exploit them for personal or commercial gain. We have a zero-tolerance approach to modern slavery and we are committed to acting ethically and with integrity in all our business dealings and relationships and to implementing and enforcing effective systems and controls to ensure modern slavery is not taking place anywhere in our own business or in any of our supply chains.
- 1.2 We are also committed to ensuring there is transparency in our own business and in our approach to tackling modern slavery throughout our supply chains. We expect the same high standards from all of our contractors, suppliers and other business partners, and as part of our contracting processes, we will include specific prohibitions against the use of forced, compulsory or trafficked labour, or anyone held in slavery or servitude, whether adults or children, and we expect that our suppliers will hold their own suppliers to the same high standards.

As a result, this policy applies to all persons working for us or on our behalf in any capacity, including employees at all levels, directors, trustees, officers, agency workers, seconded workers, volunteers, interns, agents, contractors, external consultants, third-party representatives, suppliers and business partners, all of whom have a duty to comply with this and other policies designed to prevent modern slavery.

2. **COMPLIANCE WITH THE POLICY**

- 2.1 You must ensure that you read, understand and comply with this policy.
- 2.2 The prevention, detection and reporting of modern slavery in any part of our business or supply chains is the responsibility of all those working for us or under our control. You are required to avoid any activity that might lead to, or suggest, a breach of this policy.
- 2.3 You must notify your manager or the Compliance Hotline (+44 131 658 5766 or compliance@alivedx.com) as soon as possible if you believe or suspect that a conflict with this policy has occurred or may occur in the future.
- 2.4 You are encouraged to raise concerns about any issue or suspicion of modern slavery in any parts of our business or supply chains of any supplier tier at the earliest possible stage.
- 2.5 If you are unsure about whether a particular act, the treatment of workers more generally, or their working conditions within any tier of our supply chains constitutes any of the various forms of modern slavery, raise it with your Manager, the Compliance Officer or through the Compliance Hotline.

- 2.6 We aim to encourage openness and will support anyone who raises genuine concerns in good faith under this policy, even if they turn out to be mistaken. We are committed to ensuring no one suffers any detrimental treatment as a result of reporting in good faith their suspicion that modern slavery of whatever form is or may be taking place in any part of our own business or in any of our supply chains. Detrimental treatment includes dismissal, disciplinary action, threats or other unfavourable treatment connected with raising a concern. If you believe that you have suffered any such treatment, you should inform your Manager, the Compliance Officer or the Compliance Hotline immediately. If the matter is not remedied, and you are an employee, you should raise it formally using our Grievance Procedure.

3. **COMMUNICATION AND AWARENESS OF THIS POLICY**

- 3.1 Training on this policy, and on the risk our business faces from modern slavery in its supply chains is provided internally.
- 3.2 Our zero-tolerance approach to modern slavery will be communicated to key "critical" suppliers involved in our product supply chain at the outset of our business relationship with them and reinforced as appropriate thereafter.

4. **BREACHES OF THIS POLICY**

- 4.1 Any employee who breaches this policy will face disciplinary action, which could result in dismissal for misconduct or gross misconduct.
- 4.2 We may terminate our relationship with other individuals and organisations working on our behalf if they breach this policy.

5. **ORGANISATION AND RESPONSIBILITY**

- 5.1 The Compliance Officer has overall responsibility for ensuring this policy complies with our legal and ethical obligations.
- 5.2 The Compliance Officer and the Head of Human Resources have primary and day-to-day responsibility for implementing this policy, monitoring its use and effectiveness, dealing with any queries about it, and auditing internal control systems and procedures to ensure they are effective in countering modern slavery.
- 5.3 Management at all levels are responsible for ensuring those reporting to them understand and comply with this policy and will be given adequate training on it and the issue of modern slavery in supply chains. Procurement functions are responsible for ensuring critical suppliers involved in our supply chain understand and comply with this policy.
- 5.4 All staff are invited to comment on this policy and suggest ways in which it might be improved. Comments, suggestions and queries are encouraged and should be addressed to the Compliance Officer.